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Image

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WOBBEN Examiner: T. LAM
Serial No.: 09/446,831 Group Art Unit: 2834
Filed: FEBRUARY 17, 2000 Docket: 7468.178USWO
Confirmation No.: 2960
Due Date: DECEMBER 30, 2003
Title: SYNCHRONOUS GENERATOR FOR SERVICE IN WIND POWER PLANTS AS WELL AS A WIND POWER PLANT

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 14, 2003.

By: Kristen A. Wach
Name: Kristen A. Wach

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

23552
PATENT TRADEMARK OFFICE

Sir

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Supplemental Information Disclosure Statement, Form 1449, 6 Reference(s), Copy of Japanese Official Action
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Thomas J. Strouse
Name: Thomas J. Strouse
Reg. No.: 53,950
TStrouse:PLSkaw



N 09/446,831

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|--|-----------------|--------------|
| Applicant: | WOBBEN | Examiner: | T. LAM |
| Serial No.: | 09/446,831 | Group Art Unit: | 2834 |
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CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 19, 2003.

Kristine A. Wack
By: *Kristine A. Wack*
Name:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a co-pending Japanese Official Action mailed September 30, 2003.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication

from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the Japanese Official Action. Applicant notes German Patent No. 622115, French Patent No. 1.215.804 and Wang, F. et al., *IEEE Transactions on Industry Applications*, Vol. 25, No. 3, pp. 436-440 (May-June 1999) also listed in the search report were previously cited to or by the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

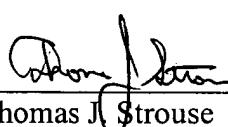
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Date: 11/14/01

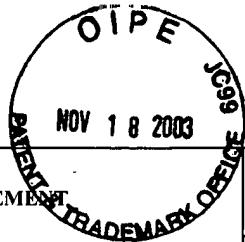


Thomas J. Strouse
Reg. No. 53,950
TJS:PLSkaw



Date Mailed: NOVEMBER 14, 2003

Sheet 1 of 1



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|---|--|--|---|
| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | | Docket Number: 7468.178USWO | Application Number: 09/446,831 |
| | | Applicant: WOBBEN | |
| | | Filing Date: 02/17/2000 | Group Art Unit: 2834 |

23552

PATENT TRADEMARK OFFICE

| EXAMINER | DATE CONSIDERED |
|---|-----------------|
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. | |